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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/658,795	09/11/2000	Charles A. Lemaire	750.006US1	4145

7590 09/22/2003
Schwegman Lundberg Woessner & Kluth PA
P.O. Box 2938
Minneapolis, MN 55402

EXAMINER

PARDO, THUY N

ART UNIT	PAPER NUMBER
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2175

DATE MAILED: 09/22/2003

6

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/658,795

Applicant(s)

LEMAIRE ET AL.

Examiner

Thuy Pardo

Art Unit

2175

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 July 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 10-16 and 18-34 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 10-16 and 18-34 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: |

DETAILED ACTION

1. Applicant's Response filed on July 07, 2003 to Examiner's Office Action has been reviewed.
2. Claims 10-16 and 18-34 are presented for examination.
3. Applicant's request for reconsideration of the rejection of the last Office action is persuasive and, Examiner recognizes that the provisional application 60/60139,113 does not fully support a rejection; therefore, the rejection of that action is withdrawn.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

- (e) the invention was described in-
- (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
 - (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

4. Claims 10-16 and 18-34 are rejected under 35 U.S.C. § 102(e) as being anticipated by **Jawahar et al.** (Hereinafter "Jawahar") U.S. Patent No. 6,298,356.

As to claim 10, Jawahar teaches the invention substantially as claimed, comprising:

receiving transactions from at least one service provider and at least one service consumer, wherein each transaction is associated with the service consumer [176-182 of fig. 5];

storing the transactions [stores the requested web pages in the cache, ab];

enabling access by the service consumer to stored transactions associated with the service consumer to whom access is enabled [col. 6, lines 40-64; 250-256 of fig. 8; tables containing information accessed by a user, fig. 10]; and

accessing the stored transactions associated with the service consumer to whom access is enabled, the accessing being performed by the service consumer to whom access is enabled [col. 14, lines 12-39; fig. 2].

As to claim 11, Jawahar teaches the invention substantially as claimed. Jawahar further teaches receiving transactions by a docketing provider [database management server, 60 of fig. 2].

As to claim 12, Jawahar teaches the invention substantially as claimed. Jawahar further teaches viewing a log of pending action items [fig. 8; col. 8, lines 25-37].

As to claim 13, Jawahar teaches the invention substantially as claimed. Jawahar further teaches that the service consumer uses a browser to access the stored transactions [browser application, col. 8, lines 25-40; 78 of fig. 2].

As to claim 14, all limitations of this claim have been addressed in the analysis of claim 10 above, and this claim is rejected on that basis.

As to claim 15, all limitations of this claim have been addressed in the analysis of claim 11 above, and this claim is rejected on that basis.

As to claim 16, Jawahar teaches the invention substantially as claimed. Jawahar further teaches that the transaction is associated with a service matter [col. 4, lines 10-50].

As to claims 18-21, it is a corresponding apparatus claims of claims 10-16 above; therefore, they are rejected under the same rationale.

As to claim 22, Jawahar teaches the invention substantially as claimed. Jawahar further teaches receiving an electronic message [E-mail, col. 4, lines 10-50], the system further comprising a decoder that extracts the transaction from the electronic message [inherent in the system that enables an email server to process all email transactions in the transaction processing environment, col. 4, lines 57-65].

As to claim 23, Jawahar teaches the invention substantially as claimed. Jawahar further teaches an input device that obtains a database transaction [input device, 314 of fig. 15]; an encoder that inserts the transaction into an electronic message [inherent in the system that enables an email server to process all email transactions in the transaction processing environment, col. 4, lines 57-65].

As to claim 24, Jawahar teaches the invention substantially as claimed. Jawahar further teaches a database stored in the storage, the database holding data for a plurality of service consumers including the first service consumer and for the first service provider [database 30 of fig. 1]; and a database transaction processor operatively coupled to the receiver of database transaction information and to the storage [database management server, 60 of fig. 2].

As to claims 25-27, all limitations of these claims have been addressed in the analysis of claims 11-13 above, and these claims are rejected on that basis.

As to claims 28-34, all limitations of these claims have been addressed in the analysis above, and these claims are rejected on that basis.

5. Further references of interest are cited on Form PTO-892 which is an attachment to this office action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thuy Pardo, whose telephone number is (703) 305-1091. The examiner can normally be reached Monday through Thursday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dov Popovici, can be reached at (703) 305-3830.

The fax phone number for the organization where this application or proceeding is assigned are as follows:

(703) 746-7238	(After Final Communication)
(703) 746-7239	(Official Communication)
(703) 746-7240	(For Status inquiries, draft communication)

and/or:

(703) 746-5616 (*Use this Fax#, only after approval by Examiner, for "INFORMAL" or "Draft" communication. Examiner may request that a formal/amendment be faxed directly to them on occasions*).

Any inquiry of a general nature of relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

7. **Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 308-9051, (for formal communications intended for entry)

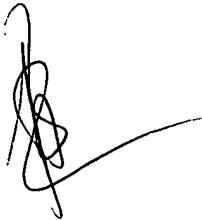
Or:

(703) 308-5359, (for informal or draft communications, please label

"PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington.

VA., Sixth Floor (Receptionist).

A handwritten signature in black ink, appearing to be 'Thuy Pardo', with a long horizontal stroke extending to the right.

Thuy Pardo
September 09, 2003